

Distributed Storage in the Plane

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Abstract—We consider storage devices located in the plane according to a general point process and specialize the results for the homogeneous Poisson process. A large data file is stored at the storage devices, which have limited storage capabilities. Hence, they can only store parts of the data. Clients can contact the storage devices to retrieve the data. We compare the expected cost of obtaining the complete data under uncoded as well as coded data allocation strategies. It is shown that for the general class of cost measures where the cost of retrieving data is increasing with the distance between client and storage devices, coded allocation outperforms uncoded allocation. The improvement offered by coding is quantified for two more specific classes of performance measures. Finally, our results are validated by computing the costs of the allocation strategies for the case that storage devices coincide with currently deployed mobile base stations.

I. INTRODUCTION

Consider wireless devices located in the plane that serve as storage devices for a big piece of data. Clients are interested in obtaining the complete data. However, the capacity of the storage devices is limited and it is not possible to store the complete data in each device. Therefore, clients will have to gather data from several devices in order to retrieve the complete piece of data.

We see at least two important applications: The first application is in the context of road traffic sensor networks. On one hand, sensors have very limited memory and transmission capabilities; on the other hand, traffic applications like provision of current traffic conditions, suggesting alternative routes or directing to empty parking spots require dealing with a large information load. Thus, it is very natural to use distributed memory storage and caching in road traffic sensor networks. The second direct application is Information Centric Networking (ICN). ICN is a new paradigm for the network architecture where the data is addressed by its name or content directly rather than by its physical location. There is no predefined location for the data in ICN and the content is naturally cached along the retrieval path. Examples of the ICN architecture are CCN/NDN [1], DONA [2] and TRIAD [3]. Our results can be useful for the design of the wireless networks with the ICN architecture.

We consider the very general model that the cost of obtaining data from a particular storage device is increasing with the distance between the client and that device. This cost can reflect, for instance, the time required to obtain the data, the transmit power that needs to be used at the storage device to satisfy certain QoS constraints, or the probability with which

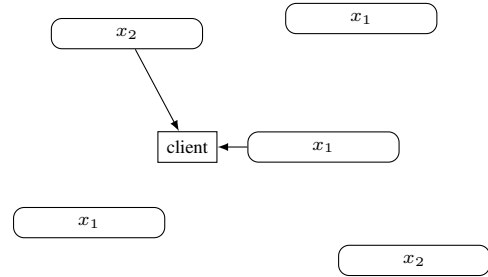


Fig. 1. Uncoded data allocation

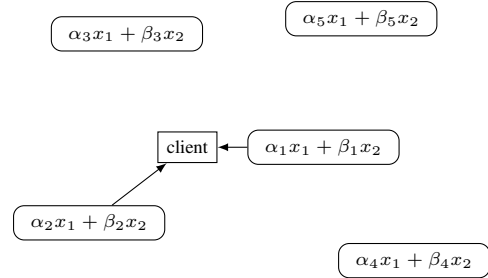


Fig. 2. Coded data allocation

the client fails in obtaining the data.

In this paper we study the cost resulting from two different *allocation strategies* for the storage devices, *i.e.*, strategies that decide what data to store in which device. In particular, we will study two strategies that we will introduce next by means of an example. Suppose that the data consists of two packets, x_1 and x_2 , of equal size. Assume, moreover, that the capacity of a device equals the size of a single packet.

One particular strategy that we will analyze is to store in each device either x_1 or x_2 . More precisely, for each device we choose at random, independent of the other devices, to store x_1 (with probability $1/2$) or x_2 (with probability $1/2$). Now, in order to obtain all data, a client would need to contact two devices. In fact, in order to minimize cost it will request x_1 from the nearest device that has x_1 and x_2 from the nearest device that has x_2 . We will refer to this strategy, illustrated in Figure 1, as the uncoded strategy.

The second strategy that we consider is based on using coded data. In particular, we store at each device a random linear combination of x_1 and x_2 . Now, with high probability, the linear combinations of any set of two devices will form a full rank system. Therefore, the client can contact the two closest devices and obtain sufficient information to retrieve the original data. This is illustrated in Figure 2. It is suggested by Figures 1 and 2 that the coded strategy outperforms the partitioning strategy in the sense that the distances between client and contacted devices are reduced. One of the contributions of the current work is to prove this result rigorously for a broad class of performance measures.

In addition, we will be interested in two more specific performance measures. The first is the hit rate, *i.e.*, the probability that the client can retrieve the data from storage devices that are located within a specified range. The second performance measure is the expected total cost of retrieving the data, where total cost is the sum of costs of obtaining individual fragments. The cost of obtaining a fragment is increasing in the distance between client and storage device.

Applications of linear network coding for distributed storage were studied in [4], where it has been demonstrated that repair bandwidth can be significantly reduced. In the current work there is no notion of repair. Instead, coding is used to bring the data ‘closer’ to the client. The use of coding was also explored in [5] where it was shown how to efficiently allocate the data at caches with the aim of ensuring that any sufficiently large subset of caches can provide the complete data. The difference with the current work is that we are taking the geometry of the deployment of the storage devices into account. In [6] coding strategies for networks of caches are presented, where each user has access to a single cache and a direct link to the source. It is demonstrated how coding helps to reduce the load on the link between the caches and the source. Note that we assume that different transmissions from caches to the clients are orthogonal, for instance by separating them in time or frequency. In [7] the impact of non-orthogonal transmissions is considered and scaling results are derived on the best achievable transmission rates. Systems of distributed storage devices or caches can be classified according to the amount of coordination between the devices. In [8] an approach with implicit coordination is proposed. Networks of caches are notoriously difficult to analyze. Only some very particular topologies and caching strategies (see [9] and references therein) or approximations [10], [11] have been studied. In a recent work [12] ergodicity of cache networks has been investigated. Using continuous geometrical constraints on cache placement instead of combinatorial constraints allows us to obtain exact analytical results.

Other work on caching in wireless networks is, for instance, [13]–[15]. In [13] the authors analyze the trade-off between energy consumption and the retrieval delay of data from the caches. In [14], the authors consider the optimal number of replicas of data such that the distance between a requesting node and the nearest replica is minimized. Data sharing among multiple caches such that the bandwidth consumption and the

data retrieval delay are minimal is considered in [15]. None of [13]–[15] are considering coded caching strategies.

We would like to emphasize that none of the above mentioned works considered continuous geometric constraints on storage device placement. Thus, to the best of our knowledge, the current paper is the first work on the analysis of spatial distributed storage with stochastic geometry. In the remainder we will often refer to the storage devices as caches. Note, however, that the current work is dealing with distributed storage of a single file and that we do not consider dynamic caching strategies for multiple files.

The remainder of this paper is organized as follows. In Section II we define the model and formulate an exact problem statement. Our main theoretical results are given in Section III. In Section IV we provide numerical examples. In particular, we consider network topologies generated by spatial Poisson process and from a real wireless network. We conclude and give future research directions in Section V.

II. MODEL AND PROBLEM STATEMENT

A. System

We consider caches that are positioned in the plane and enumerate the caches with respect to their distance to the client starting with cache closest to the client. The indices of the caches are denoted as I_1, I_2, \dots and we denote by $D(I)$ the distance between the client and the I -th cache. For a vector $\mathbf{I} = (I_1, I_2, \dots)$, let $D(\mathbf{I}) = (D(I_1), D(I_2), \dots)$. In the remainder we consider two scenarios: i) the caches are placed at arbitrary positions and we derive results that hold for any such placement, ii) caches are positioned according to a homogeneous Poisson process (HPP) with intensity λ . In the second scenario $D(I)$ is a random variable and we will derive results that hold in expectation.

The data M consists of k symbols, where each symbol is selected uniformly at random from $\{1, \dots, q\}$, *i.e.*,

$$M = (M_1, \dots, M_k). \quad (1)$$

A particular instance of such a q -ary symbol is that of a tuple of many bits. Each cache has the capacity to store one q -ary symbol. There are various approaches to placing the message in the cache. Analyzing these placement strategies is the main problem studied in this paper. We introduce the strategies in Section II-B.

A client, located at an arbitrary location in the plane, needs to retrieve M by combining information from various caches. We assume that the client has full knowledge of the locations of the caches as well as the placement strategy that has been used. Clients can request data from caches. The cost of obtaining the data from caches is increasing with the distance between the client and these caches. A client will have to contact several caches to obtain the complete message.

Our interest is in the expected cost, where the expectation is over the randomness in the placement strategy as well as over the location of the caches in case of placement according to a Poisson process.

B. Strategies

We will consider two strategies.

1) *Uncoded*: In the first strategy that we consider we simply store one of the symbols M_1, \dots, M_k in a cache. This is done independently and at random for each cache by choosing for each cache a label uniformly at random from $\{1, \dots, k\}$. In a cache with label t we store M_t .

In the delivery phase the client contacts for each $t \in \{1, \dots, k\}$ the closest cache with that label and retrieves M_t . We denote by $I_1^p < I_2^p < \dots < I_k^p$ the index of the cache from which the parts are obtained, *i.e.*, if $I_i^p = j$, then the i -th part is obtained from the j -th nearest cache. Let $\mathbf{I}^p = (I_1^p, \dots, I_k^p)$.

2) *Random linear coding*: The second strategy is based on random linear coding. We interpret M_1, \dots, M_k as symbols over the field \mathbb{F}_q . For each cache we draw coefficients c_i , $i = 1, \dots, k$, independently and uniformly at random from \mathbb{F}_q . In the cache we store

$$\sum_{i=1}^k c_i M_i. \quad (2)$$

In the delivery phase we retrieve from the set of k nearest caches that provide complete data. In particular, the first part is obtained from the first neighbor. If the second neighbor has a linear combination that is linearly independent from the part of the first neighbor it is retrieved. Otherwise, we check the content of the third neighbor, etc. Let $I_1^c < I_2^c < \dots < I_k^c$ denote indices of the neighbors from which the parts are obtained. Let $\mathbf{I}^c = (I_1^c, \dots, I_k^c)$.

C. Performance measures

We have defined two caching strategies. In both strategies the client retrieves the data from exactly k caches. We express performance of the system in terms of the expected cost of contacting these caches. The cost is dependent on the distance from which the data is obtained. Let $\delta_1, \dots, \delta_k$ denote these distances. Using the notation from Section II-B we have $\delta_i = D(I_i^p)$ and $\delta_i = D(I_i^c)$ in the uncoded and coded strategy respectively.

In the remainder we will present results for a general cost measure $G : \mathbb{R}_+^k \rightarrow \mathbb{R}_+$, where we used $\mathbb{R}_+ = [0, \infty)$. In addition we will give results for a two classes of more specific cost measures. These classes will be introduced in the subsequent subsections. First we give the constraints that we impose on all the cost measures that we consider. We assume that G is an increasing function in the sense that $\delta_i \geq \tilde{\delta}_i$ for all $i = 1, \dots, k$ implies that $G(\delta_1, \dots, \delta_k) \geq G(\tilde{\delta}_1, \dots, \tilde{\delta}_k)$. In addition G is assumed to be bounded by G_{\max} , *i.e.*, $0 \leq G(\delta_1, \dots, \delta_k) \leq G_{\max}$ for all $(\delta_1, \dots, \delta_k) \in \mathbb{R}_+^k$. The rationale of the upper bound is that if the caches that provide complete data are located too far away a client can obtain the data directly from the source using some other technology.

1) *Energy consumption, waiting time, etc.*: We introduce the cost function

$$W(\delta_1, \dots, \delta_k) = \sum_{i=1}^k \min\{(\delta_i)^a, (\delta_{\max})^a\}, \quad (3)$$

where $a > 0$ is a parameter.

This cost function can be related to various quantities of interest. For instance, it can denote the energy consumed by a cache in order to successfully deliver data to a client. Based on

$$R = \frac{1}{2} \log(1 + P\delta^{-a}), \quad (4)$$

where a is the path loss exponent of the wireless medium, we see that the transmit power P required to transmit at a guaranteed minimum rate R satisfies $P = (\exp(2R) - 1)\delta^a$. Therefore, the energy required to deliver a symbol from the cache is of the form (3), with preconstant proportional to $\exp(2R) - 1$. In this case a denotes the path loss exponent, which in the plane satisfies $a \geq 2$.

Another example is given by the time required to obtain the data if TCP is used. The throughput of TCP is known to be inversely proportional to the round trip delay, *cf.* [16] or [17]. The later is given by the sum of the processing/queueing delay (which we assume zero) and the propagation delay, which is proportional to the distance δ . Therefore, $W = C\delta$, *i.e.*, $a = 1$, gives the time required to obtain data from a cache using TCP.

2) *Cache miss probability*: We consider the case that clients can only connect to caches within range r . If the complete data cannot be retrieved from the caches within this range, a cache miss occurs. If clients can connect to any cache within range r , but not outside, then $\mathbb{E}[F(D(\mathbf{I}))]$, with

$$F(\delta_1, \dots, \delta_k) = \begin{cases} 0, & \text{if } \max_i \delta_i \leq r, \\ 1, & \text{otherwise,} \end{cases} \quad (5)$$

denotes the probability that data can not be obtained successfully.

III. RESULTS

A. General cost measures

Observe that the random linear coding strategy depends on the client to find a sufficient number of linearly independent combinations in caches that are not too far away. If q is small there is a significant probability that the information of the next cache is not linearly independent. Hence, one might argue that for small values of q there exists performance measures for which the partitioning strategy outperforms random linear coding. Our first result demonstrates that this is not true, *i.e.*, that random linear coding outperforms the partitioning strategy for any value of q and any cost function.

Theorem 1. *Consider an arbitrary placement of caches, a bounded increasing function G and any q . Then*

$$\mathbb{E}[G(D(\mathbf{I}^c))] \leq \mathbb{E}[G(D(\mathbf{I}^p))],$$

i.e., coding always outperforms partitioning.

The proof of the above theorem is given in Appendix A. Note, that the above result in particular implies that coding is better than uncoded strategy for any realization in case of placement according to a spatial Poisson process.

Since less than k caches can never provide the complete data, it is clear that the minimum cost that one can hope to

achieve is given by the cost of contacting the nearest k caches. For notation convenience, denote the minimum expected cost as

$$G_{\min} = \mathbb{E}[G(D(1), \dots, D(k))]. \quad (6)$$

Our next result provides a bound on the deviation of the cost of the coded strategy from G_{\min} .

Theorem 2. *The expected cost of the coded strategy is upper bounded as*

$$\mathbb{E}[G(D(\mathbf{I}^c))] \leq G_{\min} + G_0, \quad (7)$$

where $G_0 = G_{\max}(1 - (1 - 1/q)^k)$.

Proof: Let A denote the event that the first k caches provide a full rank system. Then

$$\mathbb{E}[G(D(\mathbf{I}^c))] = \mathbb{E}[G(D(\mathbf{I}^c)) | A] P(A) + \mathbb{E}[G(D(\mathbf{I}^c)) | \bar{A}] P(\bar{A}) \quad (8)$$

and the result follows from the assumption that G is bounded by G_{\max} and $P(\bar{A}) \leq 1 - (1 - 1/q)^k$, cf. [18] or [19]. ■

We will illustrate the above result for a specific performance measure below. In particular, we will show that the coded strategy is close to optimal.

B. Waiting time

Next, we consider specific instances of the cost. First we consider W as defined in Section II-C1. Before giving the results we will provide some definitions and results on the Gamma function. Let $\Gamma(k, x)$ denote the upper incomplete Gamma function, i.e.,

$$\Gamma(k, x) = \int_x^\infty z^{k-1} e^{-z} dz. \quad (9)$$

Furthermore, we define $\Gamma(k) = \Gamma(k, 0)$ and $\gamma(k, x) = \Gamma(k) - \Gamma(k, x)$. The use of $\Gamma(k, x)$ for Poisson processes stems from the fact that for a Poisson random variable X with mean μ we have

$$P(X < n) = e^{-\mu} \sum_{i=0}^{n-1} \frac{\mu^i}{\Gamma(i+1)} = \frac{\Gamma(n, \mu)}{\Gamma(n)}. \quad (10)$$

Therefore, the probability that D_n , the distance to the n -th nearest neighbor in an HPP of density λ is at most δ is

$$P(D_n \leq \delta) = 1 - \frac{\Gamma(n, \lambda \pi \delta^2)}{\Gamma(n)}. \quad (11)$$

We are now ready to present our first result on W . The proof of the next theorem is given in Appendix B.

Theorem 3. *Let the caches be distributed in the plane as HPP with density λ . Then, the expected costs of the partitioning and coded strategies are*

$$W^p = k \left(\frac{k}{\lambda \pi} \right)^{b-1} \gamma\left(b, \frac{d}{k}\right) + k \left(\frac{d}{\lambda \pi} \right)^{b-1} \Gamma\left(1, \frac{d}{k}\right),$$

$$W_{\min}^c = \frac{\gamma(k+b, d) + b d^{b-1} \Gamma(k+1, d) - (b-1) d^b \Gamma(k, d)}{(\lambda \pi)^{b-1} b \Gamma(k)},$$

where $b = a/2 + 1$ and $d = \lambda \pi \delta_{\max}^2$.

To get an idea about the nature of the above involved equations, a reader may check Figure 4 where a numerical example is presented.

From the observation that

$$\lim_{d \rightarrow \infty} d^c \Gamma(k, d) = 0, \quad (12)$$

for any c , we immediately obtain the following corollary which provides limiting expressions for W^p and W_{\min}^c for $\delta_{\max} \rightarrow \infty$.

Corollary 1. *Let $\bar{W}^p = \lim_{\delta_{\max} \rightarrow \infty} W^p$ and $\bar{W}_{\min}^c = \lim_{\delta_{\max} \rightarrow \infty} W_{\min}^c$. We have*

$$\bar{W}^p = k \left(\frac{k}{\lambda \pi} \right)^{a/2} \Gamma\left(1 + \frac{a}{2}\right), \quad (13)$$

$$\bar{W}_{\min}^c = \frac{\Gamma(k+1 + \frac{a}{2})}{(1 + \frac{a}{2})(\lambda \pi)^{a/2} \Gamma(k)}. \quad (14)$$

Next we turn our attention to the cost benefit of coding over partitioning, defined as the ratio $\bar{W}^p / \bar{W}_{\min}^c$. Clearly, it is an optimistic prediction. However, in the numerical examples section we shall demonstrate that the actual gain is typically close to this optimistic prediction. It follows readily from Corollary 1 that

$$\frac{\bar{W}^p}{\bar{W}_{\min}^c} = \left(1 + \frac{a}{2}\right) k^{1+a/2} B\left(k, 1 + \frac{a}{2}\right), \quad (15)$$

where $B(k, 1 + \frac{a}{2})$ denotes the Beta function.

Theorem 4. *The benefit of coding over partitioning, $\bar{W}^p / \bar{W}_{\min}^c$, is increasing in k . Moreover*

$$\lim_{k \rightarrow \infty} \frac{\bar{W}^p}{\bar{W}_{\min}^c} = \left(\frac{a}{2} + 1\right) \Gamma\left(\frac{a}{2} + 1\right). \quad (16)$$

Hence

$$1 \leq \frac{W^p}{W^c} \leq \left(\frac{a}{2} + 1\right) \Gamma\left(\frac{a}{2} + 1\right), \quad (17)$$

with equality on the LHS iff $k = 1$.

Proof: We start with proving that $\bar{W}^p / \bar{W}_{\min}^c$, is increasing in k . Let $b = 1 + \frac{a}{2}$. We have

$$\frac{\partial}{\partial k} \frac{\bar{W}^p}{\bar{W}_{\min}^c} = b k^b B(k, b) \left[\frac{b}{k} + \psi(k) - \psi(k+b) \right], \quad (18)$$

where $\psi(x) = \int_0^\infty \left(\frac{e^{-t}}{t} - \frac{e^{-xt}}{1-e^{-t}} \right) dt$ is the digamma function [20]. We need to show that

$$\int_0^\infty e^{-kt} \frac{1 - e^{-bt}}{1 - e^{-t}} dt \leq \frac{b}{k}, \quad (19)$$

but this follows directly from the observation that $\frac{1 - e^{-bt}}{1 - e^{-t}} \leq b$.

The limiting expression follows from an application of Stirlings approximation. ■

Note, that for $a = 1, 2, 3$, the upper bound in Theorem 4 reduces to $\frac{3\sqrt{\pi}}{4} \approx 1.3$, 2 and $\frac{15\sqrt{\pi}}{8} \approx 3.3$ respectively.

C. Cache miss probability

Next let us consider the cache miss probability.

Theorem 5. *The cache miss probability of the partitioning and coded strategy are*

$$F^p = 1 - \left(1 - e^{-\lambda\pi r^2/k}\right)^k, \quad (20)$$

$$F_{\min}^c = \frac{\Gamma(k, \lambda\pi r^2)}{\Gamma(k)}. \quad (21)$$

Proof: For the coded strategy we have

$$F_{\min}^c = P(D(k) > r) = \frac{\Gamma(k, \lambda\pi r^2)}{\Gamma(k)}, \quad (22)$$

since the k -th nearest cache needs to be within distance r .

For the uncoded strategy we have

$$F^p = 1 - P_{\lambda/k}(D_1 \leq r)^k \quad (23)$$

$$= 1 - \left(1 - e^{-\lambda\pi r^2/k}\right)^k, \quad (24)$$

which follows from the fact that each of the parts is found in the first neighbour in a (thinned) Poisson process of intensity λ/k . ■

We note that the following asymptotics for equations in the statement of Theorem 5 take place

$$F^p \approx 1 - \frac{(\lambda\pi r^2)^k}{k^k},$$

$$F_{\min}^c \approx 1 - e^{-\lambda\pi r^2} \frac{(\lambda\pi r^2)^k}{k!},$$

for large values of k . This indicates that when increasing k , the cache miss probability increases much faster for the uncoded strategy than for the coded strategy. We shall illustrate this phenomenon with a specific numerical example in the next section.

IV. EVALUATION

Let us evaluate our theoretical results for distributed memory devices placed according to (a) Spatial Homogeneous Poisson Process and (b) real wireless network. In order to compare these two settings we have chosen the intensity of the Poisson process equal to the density of base stations in the real network. In Figure 3 one can see a realization of the Spatial Homogeneous Poisson Process with $\lambda = 1.8324 \times 10^{-5}$. The value is rather small because we measure distances in meters. In Figure 4 we have plotted W^p , W_{\min}^c and $W_{\min}^c + W_0$ given by formulae of Theorems 2 and 3 as functions of the number of parts in which a file is divided for $q = 2^8$, $a = 2$ and $\delta_{\max} = 700$. On this figure we have also plotted the averaged cost functions for uncoded and coded strategies from the simulation of the system. We have averaged over 500 realizations of the Poisson process and 100 realizations of the part distribution. The simulation curve for the uncoded strategy follows very closely the theoretical result. Of course, this is no surprise if the simulations and theoretical derivations have been done correctly. What is more interesting is that the

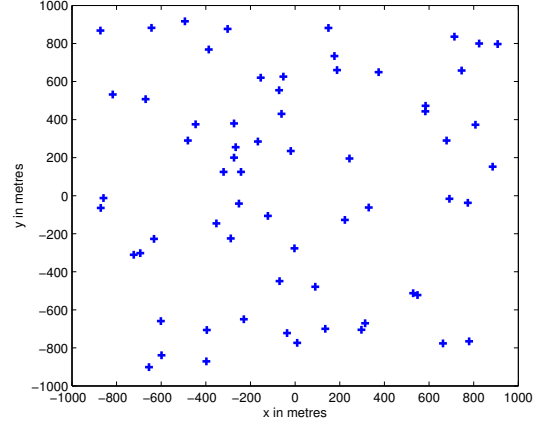


Fig. 3. A realization of the Spatial Homogeneous Poisson Process.

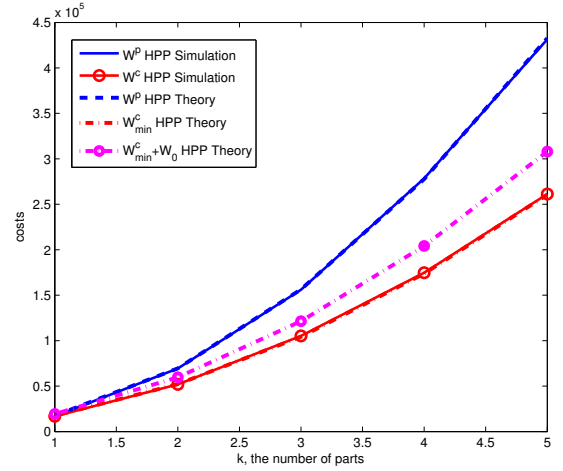


Fig. 4. Cost function, bounds and simulation results for Poisson process.

simulation curve for the coded strategy follows very closely the lower bound given by W_{\min}^c . This can be explained by the fact that when the number of parts k is not large, the event of obtaining not a full rank system after k requests to devices is very small.

Next we evaluate the performance of coded and uncoded strategies on the topology of a real wireless network. We have taken the positions of 3G base stations provided by the OpenMobileNetwork project [21]. The base stations are situated in the area 1.95×1.74 kms around the TU-Berlin campus. One can see the positions of the base stations from the OpenMobileNetwork project in Figure 5. We note that the base stations of the real network are more clustered because they are typically situated along the roads. In Figure 6 we have plotted the averaged simulation results for coded and uncoded strategies for the real network topology and Poisson process with the intensity equal to the density of the base stations. The averaging for the real network data has been done with

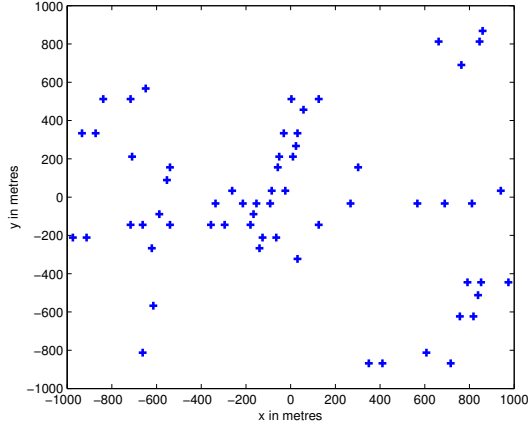


Fig. 5. Location of Base Stations from OpenMobileNetwork dataset.

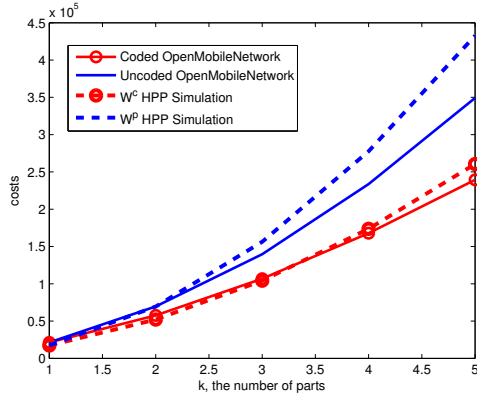


Fig. 6. Comparison OpenMobileNetwork versus Poisson process.

respect to the user position and part placement. In order to avoid border effect we have placed a user in a smaller centered rectangular region with the lengths of the sides equal to half of the respective sides. We observe that the clustering actually decreases the cost and the decrease in the cost of the uncoded strategy is larger than the decrease in the cost of the coded strategy. A possible intuitive explanation for this phenomenon is that for uncoded strategy it is difficult to find the last parts and clustering helps to reduce the marginal cost of doing more trials at the end of the discovery process.

For the same $\lambda = 1.8324 \times 10^{-5}$ and $r = 700$ we use the formulae from Theorem 5 to plot the miss probabilities F^p and F_{min}^c in Figure IV. Suppose one wants the miss probability to be not larger than 10^{-2} . Then, for the uncoded strategy one should not divide the file into more than 5 parts, whereas for the coded strategy one can have up to 17 parts. This in turn implies that the required storage capacity is smaller under the coded strategy. This highlights another benefit, flexibility, of the coded strategy for spatial distributed storage.

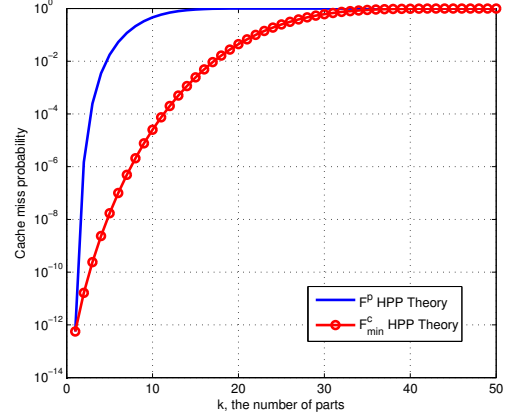


Fig. 7. Cache miss probability for Poisson process.

V. DISCUSSION

In this paper we have analyzed allocation strategies for distributed storage in a network of wireless devices. In particular, we have studied optimal deployment and allocation strategies for a single client that is requesting all data. In future work we will focus on the dynamic setting in which there are many clients that are requesting data over time. Hence, we obtain a spatial queueing model. One particular problem to study in this scenario is the stability of such a system if we take into account the interference caused by multiple caches transmitting simultaneously. Another problem is on actual caching strategies if clients request only part of the data. In particular, if there are many different pieces of data with varying popularity, the challenge is to design distributed coded caching strategies that minimize overall cost, *i.e.*, maximize hit rate.

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APPENDIX A PROOF OF THEOREM 1

Observe that Theorem 1 provides a stochastic comparison result. To simplify discussion in the remainder we introduce for random vectors $\mathbf{Z} = (Z_1, \dots, Z_k)$ and $\tilde{\mathbf{Z}} = (\tilde{Z}_1, \dots, \tilde{Z}_k)$ the notation $\mathbf{Z} \leq_{st} \tilde{\mathbf{Z}}$, to denote that $\mathbb{E}[F(\mathbf{Z})] \leq \mathbb{E}[F(\tilde{\mathbf{Z}})]$ for all bounded increasing F . We say that \mathbf{Z} is less than $\tilde{\mathbf{Z}}$ in the usual stochastic ordering.

Lemma 1. $\mathbf{I}^c \leq_{st} \mathbf{I}^p$.

Proof: We will make use of a result by Veinott [22], *cf.* [23, Theorem 3.3.7] that states that the result holds if $\mathbf{I}_1^c \leq_{st} \mathbf{I}_1^p$

and for $i = 2, 3, \dots, k$,

$$\begin{aligned} [I_i^c | I_1^c = x_1^c, \dots, I_{i-1}^c = x_{i-1}^c] &\leq_{\text{st}} \\ [I_i^p | I_1^p = x_1^p, \dots, I_{i-1}^p = x_{i-1}^p], \end{aligned} \quad (25)$$

whenever $x_j^c \leq x_j^p$ for all $j = 1, \dots, i-1$.

We have $I_1^c = 1$ and for $i = 2, \dots, k$

$$[I_i^c | I_1^c = x_1^c, \dots, I_{i-1}^c = x_{i-1}^c] = G_i + x_{i-1}^c, \quad (26)$$

where $P(G_i = n) = (1 - g_i)g_i^{n-1}$, $g_i = q^{i-1}/q^k$, i.e., G_i is geometrically distributed with parameter g_i . The above follows from the fact the coding coefficients are chosen independently for each cache. The probability that the vector of coefficients (c_1, \dots, c_k) is linearly dependent of the $i-1$ vectors that have previously been obtained is q^{i-1}/q^k , where q^k is the total number of possible vectors from which one is selected uniformly at random, and q^{i-1} is the number of vectors that are linearly dependent.

For the partitioning strategy we have $I_1^p = 1$ and

$$[I_i^p | I_1^p = x_1^p, \dots, I_{i-1}^p = x_{i-1}^p] = \tilde{G}_i + x_{i-1}^p, \quad (27)$$

where $P(\tilde{G}_i = n) = (1 - \tilde{g}_i)\tilde{g}_i^{n-1}$, $\tilde{g}_i = (i-1)/k$, which follows from the observation that there are k different symbols that could be obtained, of which $i-1$ are not useful.

Now observe that $g_i < \tilde{g}_i$ for all q , all k , and all $i = 2, \dots, k$. Therefore, $P(G_i \leq z) \geq P(\tilde{G}_i \leq z)$ for all z and $G_i \leq_{\text{st}} \tilde{G}_i$, cf. [23, Theorem 1.2.8]. Finally, note that $G_i \leq_{\text{st}} \tilde{G}_i$ implies that $G_i + x_{i-1}^c \leq_{\text{st}} \tilde{G}_i + x_{i-1}^p$ whenever $x_{i-1}^c \leq x_{i-1}^p$. ■

The proof of Theorem 1 follows directly from the above lemma.

APPENDIX B PROOF OF THEOREM 3

We first give some technical lemmas.

Lemma 2.

$$\int_x^\infty z^c \Gamma(k, z) dz = \frac{\Gamma(k + c + 1, x) - x^{1+c} \Gamma(k, x)}{c + 1}. \quad (28)$$

Proof: For $k = 1$, (28) follows from $\Gamma(1, z) = e^{-z}$ and an integration by parts. We proceed by induction over k . By repeatedly using the recurrence identity

$$\Gamma(k, x) = (k-1)\Gamma(k-1, x) + x^{k-1}e^{-x}, \quad (29)$$

which is easily shown using an integration by parts, and

assuming that (28) holds for $k-1$ we have

$$\int_x^\infty z^c \Gamma(k, z) dz = \int_x^\infty z^{c+k-1} e^{-z} dz \quad (30)$$

$$= \int_x^\infty [z^{k+c-1} e^{-z} + (k-1)z^c \Gamma(k-1, z)] dz \quad (31)$$

$$= \frac{(k+c)\Gamma(k+c, x) - x^{c+1}(k-1)\Gamma(k-1, x)}{c+1} \quad (32)$$

$$= \frac{1}{c+1} \left\{ \Gamma(k+c+1, x) - x^{k+c} e^{-x} - x^{c+1} [\Gamma(k, x) - x^{k-1} e^{-x}] \right\} \quad (33)$$

$$= \frac{\Gamma(k+c+1, x) - x^{1+c} \Gamma(k, x)}{c+1}. \quad (34)$$

■

Lemma 3. For $k \in \mathbb{N}$, $c \geq 0$ and $x \geq 0$

$$\sum_{i=1}^k \frac{\Gamma(i+c)}{\Gamma(i)} = \frac{\Gamma(k+c+1)}{(c+1)\Gamma(k)}, \quad (35)$$

$$\sum_{i=1}^k \frac{\Gamma(i+c, x)}{\Gamma(i)} = \frac{\Gamma(k+c+1, x) - x^{c+1} \Gamma(k, x)}{(c+1)\Gamma(k)}, \quad (36)$$

$$\sum_{i=1}^k \frac{\gamma(i+c, x)}{\Gamma(i)} = \frac{\gamma(k+c+1, x) + x^{c+1} \Gamma(k, x)}{(c+1)\Gamma(k)}, \quad (37)$$

Proof: We have

$$\sum_{i=1}^k \frac{\Gamma(i+c, x)}{\Gamma(i)} = \int_x^\infty \sum_{i=1}^k \frac{z^{c+i-1}}{\Gamma(i)} e^{-z} dz \quad (38)$$

$$= \int_x^\infty z^c \sum_{j=0}^{k-1} \frac{z^j}{\Gamma(j+1)} e^{-z} dz \quad (39)$$

$$= \int_x^\infty z^c \frac{\Gamma(k, z)}{\Gamma(k)} dz \quad (40)$$

$$= \frac{\Gamma(k+c+1, x) - x^{c+1} \Gamma(k, x)}{(c+1)\Gamma(k)}, \quad (41)$$

where the last equality follows from Lemma 2. For $x = 0$ this reduces to

$$\sum_{i=1}^k \frac{\Gamma(i+c)}{\Gamma(i)} = \frac{\Gamma(k+c+1)}{(c+1)\Gamma(k)}. \quad (42)$$

■

Lemma 4.

$$\int_0^u x^a d \left(1 - \frac{\Gamma(i, bx^2)}{\Gamma(i)} \right) = \frac{\gamma(\frac{a}{2} + i, bu^2)}{\Gamma(i) b^{a/2}}. \quad (43)$$

Proof. The result follows directly from the definitions of $\Gamma(i, bx^2)$ and $\gamma(a/2 + i, bu^2)$. □

Before giving the proofs for the two strategies, recall that $b = 1 + a/2$ and $d = \lambda \pi \delta_{\max}^2$. This leads to $\delta_{\max}^a = (d/\lambda/\pi)^{b-1}$ and $P(D(i) > \delta_{\max}) = \Gamma(i, d)/\Gamma(i)$.

A. Uncoded strategy

The first thing to note in the uncoded strategy is that for each of the labels $t \in \{1, \dots, k\}$ all caches with label t form a homogeneous spatial Poisson process with intensity λ/k . This follows from the fact that these caches form a thinned Poisson process [24].

Now, for the uncoded strategy we have

$$\begin{aligned} W^p &= \sum_{i=1}^k \int_0^{\infty} \max\{(\delta_i)^a, \delta_{\max}^a\} dP(D(X_i^p) \leq \delta_i) \\ &= k \int_0^{\delta_{\max}} \delta^a d\left(1 - \frac{\Gamma(1, \frac{\lambda}{k}\pi\delta^2)}{\Gamma(1)}\right) + k\delta_{\max}^a \Gamma\left(1, \frac{d}{k}\right) \\ &= k\left(\frac{k}{\lambda\pi}\right)^{a/2} \gamma\left(1 + \frac{a}{2}, \frac{d}{k}\right) + k\left(\frac{d}{\lambda\pi}\right)^{a/2} \Gamma\left(1, \frac{d}{k}\right), \end{aligned}$$

where we have used Lemma 4.

B. Random linear coding strategy

For the coded strategy we start with

$$W_{\min}^c = \sum_{i=1}^k \int_0^{\delta_{\max}} \delta^a dP(D(i) \leq \delta) + \delta_{\max}^a \sum_{i=1}^k \frac{\Gamma(i, d)}{\Gamma(i)}, \quad (44)$$

where we continue with analyzing each term separately. First,

$$\delta_{\max}^a \sum_{i=1}^k \frac{\Gamma(i, d)}{\Gamma(i)} = \left(\frac{d}{\lambda\pi}\right)^{a/2} \frac{\Gamma(k+1, d) - d\Gamma(k, d)}{\Gamma(k)}, \quad (45)$$

by Lemma 3. Next,

$$\int_0^{\delta_{\max}} \delta^a dP(D(i) \leq \delta) = \frac{\gamma\left(\frac{a}{2} + i, d\right)}{\Gamma(i)(\lambda\pi)^{a/2}}, \quad (46)$$

by Lemma 4 and

$$\sum_{i=1}^k \frac{\gamma\left(\frac{a}{2} + i, d\right)}{\Gamma(i)(\lambda\pi)^{a/2}} = \frac{\gamma\left(k+1 + \frac{a}{2}, d\right) + d^{a/2+1}\Gamma(k, d)}{(\lambda\pi)^{a/2}\left(\frac{a}{2} + 1\right)\Gamma(k)}, \quad (47)$$

by Lemma 3. The result now follows from (45) and (47).

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